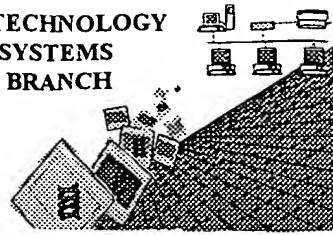


1642

RAW SEQUENCE LISTING ERROR REPORT

BIOTECHNOLOGY
SYSTEMS
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FEB 22 2002

TECH CENTER 1600/2900

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/492,764A
Source: 1642
Date Processed by STIC: 2/11/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

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FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

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Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

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Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
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Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,
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Revised 01/29/2002

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1642

RAW SEQUENCE LISTING
 PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002
 TIME: 14:24:25

Input Set : A:\10873-008-999.txt
 Output Set: N:\CRF3\02112002\I492764A.raw

5 <110> APPLICANT: Jove, Richard
 7 Dalton, William
 9 Sebti, Said
 11 Yu, Hua
 13 Heller, Richard
 15 Jaroszeski, Mark
 17 Gilbert, Richard
 19 Hamilton, Andrew
 22 <120> TITLE OF INVENTION: INHIBITION OF STAT3 SIGNAL TRANSDUCTION FOR HUMAN CANCER
 THERAPY
 26 <130> FILE REFERENCE: 10873-008-999
 30 <140> CURRENT APPLICATION NUMBER: 09/492,764A
 32 <141> CURRENT FILING DATE: 2000-01-27
 36 <150> PRIOR APPLICATION NUMBER: 60/117,600
 38 <151> PRIOR FILING DATE: 1999-01-27
 42 <160> NUMBER OF SEQ ID NOS: 38
 46 <170> SOFTWARE: PatentIn version 3.0
 50 <210> SEQ ID NO: 1
 52 <211> LENGTH: 24
 54 <212> TYPE: DNA
 56 <213> ORGANISM: Homo sapiens
 60 <400> SEQUENCE: 1
 61 agtttcattt cccgtaaatc ccta 24
 64 <210> SEQ ID NO: 2
 66 <211> LENGTH: 24
 68 <212> TYPE: DNA
 70 <213> ORGANISM: Homo sapiens
 74 <400> SEQUENCE: 2
 75 agtttcattt cccgtaaatc ccta 24
 78 <210> SEQ ID NO: 3
 80 <211> LENGTH: 22
 82 <212> TYPE: DNA
 84 <213> ORGANISM: Homo sapiens
 88 <400> SEQUENCE: 3
 89 gtcggccggc cggggaggcg ct 22
 92 <210> SEQ ID NO: 4
 94 <211> LENGTH: 25
 96 <212> TYPE: DNA
 98 <213> ORGANISM: Homo sapiens
 102 <400> SEQUENCE: 4
 103 cgacgacttc tccccggct accgc 25
 106 <210> SEQ ID NO: 5
 108 <211> LENGTH: 25
 110 <212> TYPE: DNA

Does Not Comply
 Corrected Diskette Needed

PP 215

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002
TIME: 14:24:25

Input Set : A:\10873-008-999.txt
Output Set: N:\CRF3\02112002\I492764A.raw

```

112 <213> ORGANISM: Homo sapiens
116 <400> SEQUENCE: 5
117 cccatgctg gggcgtaa gttcc
120 <210> SEQ ID NO: 6
122 <211> LENGTH: 20
124 <212> TYPE: DNA
126 <213> ORGANISM: Homo sapiens
130 <400> SEQUENCE: 6
131 cgggcattca gtgacccgtac
134 <210> SEQ ID NO: 7
136 <211> LENGTH: 20
138 <212> TYPE: DNA
140 <213> ORGANISM: Homo sapiens
144 <400> SEQUENCE: 7
145 tcaggaacca gcgggttgaag
148 <210> SEQ ID NO: 8
150 <211> LENGTH: 20
152 <212> TYPE: DNA
154 <213> ORGANISM: Homo sapiens
158 <400> SEQUENCE: 8
159 ccactgaact tctgattcgc
162 <210> SEQ ID NO: 9
164 <211> LENGTH: 20
166 <212> TYPE: DNA
168 <213> ORGANISM: Homo sapiens
172 <400> SEQUENCE: 9
173 gcgtgcttagc tggatgtctt
176 <210> SEQ ID NO: 10
178 <211> LENGTH: 9
180 <212> TYPE: DNA
182 <213> ORGANISM: Homo sapiens
186 <400> SEQUENCE: 10
187 ttccggagaa
190 <210> SEQ ID NO: 11
192 <211> LENGTH: 9
194 <212> TYPE: DNA
196 <213> ORGANISM: Homo sapiens
200 <400> SEQUENCE: 11
201 tgaggataa
204 <210> SEQ ID NO: 12
206 <211> LENGTH: 12
208 <212> TYPE: PRT
210 <213> ORGANISM: Homo sapiens
214 <220> FEATURE:
216 <221> NAME/KEY: misc_feature
218 <223> OTHER INFORMATION: N= S OR P
222 <400> SEQUENCE: 12
W--> 224 His Tyr Xaa Pro Ile Leu Val Tyr Gln Pro Ser Trp
225 1 5 10

```

Xaa "N" is used in nucleotide sequences.

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002
TIME: 14:24:25

Input Set : A:\10873-008-999.txt
Output Set: N:\CRF3\02112002\I492764A.raw

227 <210> SEQ ID NO: 13
229 <211> LENGTH: 12
231 <212> TYPE: PRT
233 <213> ORGANISM: Homo sapiens
237 <400> SEQUENCE: 13
239 Gln Asp Val His Leu Thr Gln Gln Ser Arg Tyr Thr
240 1 5 10
242 <210> SEQ ID NO: 14
244 <211> LENGTH: 12
246 <212> TYPE: PRT
248 <213> ORGANISM: Homo sapiens
252 <400> SEQUENCE: 14
254 Ser His Pro Trp Asn Ala Gln Arg Glu Leu Ser Val
255 1 5 10
257 <210> SEQ ID NO: 15
259 <211> LENGTH: 12
261 <212> TYPE: PRT
263 <213> ORGANISM: Homo sapiens
267 <400> SEQUENCE: 15
269 Tyr Pro Ala Pro Gln Pro Leu Val Thr Lys Thr Ser
270 1 5 10
272 <210> SEQ ID NO: 16
274 <211> LENGTH: 12
276 <212> TYPE: PRT
278 <213> ORGANISM: Homo sapiens
282 <400> SEQUENCE: 16
284 Phe Ser Tyr Pro Leu Thr Arg Ala Pro Leu Asn Met
285 1 5 10
287 <210> SEQ ID NO: 17
289 <211> LENGTH: 7
291 <212> TYPE: PRT
293 <213> ORGANISM: Homo sapiens
297 <400> SEQUENCE: 17
299 His Ala Ile Tyr Pro Arg Asn
300 1 5
302 <210> SEQ ID NO: 18
304 <211> LENGTH: 7
306 <212> TYPE: PRT
308 <213> ORGANISM: Homo sapiens
312 <400> SEQUENCE: 18
314 Ala Ser Thr Leu Pro Lys Ala
315 1 5
317 <210> SEQ ID NO: 19
319 <211> LENGTH: 7
321 <212> TYPE: PRT
323 <213> ORGANISM: Homo sapiens
327 <400> SEQUENCE: 19
329 Ile Gln Ser Pro His Phe Phe
330 1 5

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002
TIME: 14:24:25

Input Set : A:\10873-008-999.txt
Output Set: N:\CRF3\02112002\I492764A.raw

332 <210> SEQ ID NO: 20
334 <211> LENGTH: 6
336 <212> TYPE: PRT
338 <213> ORGANISM: Homo sapiens
342 <220> FEATURE:
344 <221> NAME/KEY: misc_feature
346 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
350 <400> SEQUENCE: 20
WV> 352 Pro Xaa Leu Lys Thr Lys
353 1 5
355 <210> SEQ ID NO: 21
357 <211> LENGTH: 6
359 <212> TYPE: PRT
361 <213> ORGANISM: Homo sapiens
365 <400> SEQUENCE: 21
367 Pro Tyr Leu Lys Thr Lys
368 1 5
370 <210> SEQ ID NO: 22
372 <211> LENGTH: 6
374 <212> TYPE: PRT
376 <213> ORGANISM: Homo sapiens
380 <220> FEATURE:
382 <221> NAME/KEY: misc_feature
384 <223> OTHER INFORMATION: X= PHOSPHOTYROSINE
388 <400> SEQUENCE: 22
WF> 390 Ala Xaa Leu Lys Thr Lys
391 1 5
393 <210> SEQ ID NO: 23
395 <211> LENGTH: 6
397 <212> TYPE: PRT
399 <213> ORGANISM: Homo sapiens
403 <220> FEATURE:
405 <221> NAME/KEY: misc_feature
407 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
411 <400> SEQUENCE: 23
W-> 413 Pro Xaa Ala Lys Thr Lys
414 1 5
416 <210> SEQ ID NO: 24
418 <211> LENGTH: 6
420 <212> TYPE: PRT
422 <213> ORGANISM: Homo sapiens
426 <220> FEATURE:
428 <221> NAME/KEY: misc_feature
430 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
434 <400> SEQUENCE: 24
WG> 436 Pro Xaa Leu Ala Thr Lys
437 1 5
439 <210> SEQ ID NO: 25
441 <211> LENGTH: 6

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002
TIME: 14:24:25

Input Set : A:\10873-008-999.txt
Output Set: N:\CRF3\02112002\I492764A.raw

443 <212> TYPE: PRT
445 <213> ORGANISM: Homo sapiens
449 <220> FEATURE:
451 <221> NAME/KEY: misc_feature
453 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
457 <400> SEQUENCE: 25

W-> 459 Pro Xaa Leu Lys Ala Lys
460 1 5

462 <210> SEQ ID NO: 26

464 <211> LENGTH: 6

466 <212> TYPE: PRT

468 <213> ORGANISM: Homo sapiens

472 <220> FEATURE:

474 <221> NAME/KEY: misc_feature

476 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE

480 <400> SEQUENCE: 26

482 Pro Tyr Leu Lys Thr Ala

483 1 5

485 <210> SEQ ID NO: 27

487 <211> LENGTH: 4

489 <212> TYPE: PRT

491 <213> ORGANISM: Homo sapiens

495 <220> FEATURE:

497 <221> NAME/KEY: misc_feature

499 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE

503 <400> SEQUENCE: 27

W-> 505 Pro Xaa Leu Lys

506 1

508 <210> SEQ ID NO: 28

510 <211> LENGTH: 4

512 <212> TYPE: PRT

514 <213> ORGANISM: Homo sapiens

518 <220> FEATURE:

520 <221> NAME/KEY: misc_feature

522 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE

526 <400> SEQUENCE: 28

W-> 528 Pro Xaa Phe Lys

529 1

531 <210> SEQ ID NO: 29

533 <211> LENGTH: 3

535 <212> TYPE: PRT

537 <213> ORGANISM: Homo sapiens

541 <220> FEATURE:

543 <221> NAME/KEY: misc_feature

545 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE

549 <400> SEQUENCE: 29

W-> 551 Xaa Leu Lys

552 1

554 <210> SEQ ID NO: 30

Xaa isn't shown in this sequence.

→ Use of n and/or Xaa has been detected in the Sequence Listing.
Review the Sequence Listing to insure a corresponding explanation is presented in the <220> to <223> fields of each sequence using n or Xaa.

VERIFICATION SUMMARY
PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002
TIME: 14:24:26

Input Set : A:\10873-008-999.txt
Output Set: N:\CRF3\02112002\I492764A.raw

L:224 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:12
L:224 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12
L:352 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:20
L:352 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:20
L:390 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:22
L:390 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:22
L:413 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:23
L:413 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:23
L:436 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:24
L:436 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:24
L:459 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:25
L:459 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25
L:505 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:27
L:505 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:27
L:528 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:28
L:528 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:28
L:551 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:29
L:551 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:29
L:574 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:30
L:574 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:30
L:612 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:32
L:612 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:32
L:650 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:34
L:650 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:34
L:673 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:35
L:673 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:35
L:696 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:36
L:696 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:36
L:719 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:37
L:719 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:37
L:742 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:38
L:742 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38